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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

United States Patent Application

To: Commissioner for Patents  
Washington, D. C. 20231

From: James L. Sonntag  
Parsons, Behle & Latimer  
201 South Main Street, Suite 1800  
Salt Lake City, Utah 84111  
Telephone: (801)-536-6705

CERTIFICATION UNDER 37 C.F.R. 1.8  
I hereby certify that this document, along with attachments referred to or identified as being enclosed, is being deposited with the United States Postal Service with sufficient postage as first class mail on Monday, 09 September 2002 in an envelope addressed to Commissioner for Patents, Washington, D. C. 20231. Name of Registered Representative: James L. Sonntag, Reg. 30224

Application Number ..... 10/051463  
Confirmation Number ..... 2964  
Filing Date ..... 18-Jan-02  
Applicant(s) ..... Jeanette C. Roberts,  
Britta H. Wilmore, Pamela B. Cassidy,  
Pamela K. Dominick, Megan D. Short  
Group Art Unit ..... 1623  
Examiner ..... Elli Peselev  
Attorney's Docket Number ..... U2370US-1  
09032.013  
Title .... "PRODRUGS AND CONJUGATES  
OF THIOL- AND SELENOL-  
CONTAINING COMPOUNDS AND  
METHODS OF USE THEREOF"

**TRANSMITTAL LETTER**

**RECEIVED**

OCT 23 2002

TECH CENTER 1600/290

Enclosed are the items listed below submitted regarding the matter identified above:

1. PTO Return Postcard Receipt
2. TRANSMITTAL LETTER
3. SUBMISSION OF DECLARATION & FEES IN RESPONSE TO NOTICE TO FILE MISSING PARTS, which includes the following attachments:
  - (a) Copy of PETITION TO RESET A PERIOD FOR REPLY, which included copies of attorney docket entries, and a copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION.
  - (b) Separate copy for this paper of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION.
  - (c) Copy of DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION from United States Patent Application 09/485321. (6 pages)
4. Check (\$979)

**Deposit Account Authorization** - The Commissioner is hereby authorized to charge payment of any further fees required for this paper or to credit any refunds to Deposit Account No. 50-0581. This application is on behalf of a small entity.

Respectfully submitted;

Dated: 09 September 2002 By: James L. Sonntag

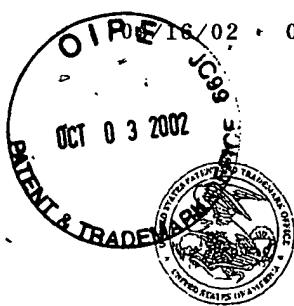
James L. Sonntag  
Reg. No. 30,224

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10/07/2002 WABDEL1 00000052 10051463

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/051,463	01/18/2002	Jeannette C. Roberts	U2370-1 09032-013

CONFIRMATION NO. 2964

## FORMALITIES LETTER



\*OC00000007811369\*

James L. Sonntag  
Parsons, Behle & Latimer  
Suite 1800  
201 South Main Street  
Salt Lake City, UT 84111

Date Mailed: 04/08/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$84.
  - \$84 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 519.

*A copy of this notice MUST be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

10/07/2002 WABDEL1 00000052 10051463

01 FC:201	370.00 OP
02 FC:202	84.00 OP
03 FC:205	65.00 OP



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

United States Patent Application

To: Commissioner for Patents  
Washington, D. C. 20231

From: James L. Sonntag  
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Salt Lake City, Utah 84111  
Telephone: (801)-536-6705

**CERTIFICATION UNDER 37 C.F.R. 1.8**  
I hereby certify that this document, along with attachments referred to or identified as being enclosed, is being deposited with the United States Postal Service with sufficient postage as first class mail on 09 September 2002 in an envelope addressed to Commissioner for Patents, Washington, D. C. 20231. Name of Registered Representative: James L. Sonntag, Reg. 3024

Application Number..... 10/051463  
Confirmation Number..... 2964  
Filing Date ..... 18-Jan-02  
Applicant(s)..... Jeanette C. Roberts,  
Britta H. Wilmore, Pamela B. Cassidy,  
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09032.013  
Title .... "PRODRUGS AND CONJUGATES  
OF THIOL- AND SELENOL-  
CONTAINING COMPOUNDS AND  
METHODS OF USE THEREOF"

**SUBMISSION OF DECLARATION & FEES IN RESPONSE TO**

**NOTICE TO FILE MISSING PARTS**

This is in response to the NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION, mailed 04/08/2002 (Notice).

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OCT 23 2002

TECH CENTER 1600/2900

**Previous Response and Background**

On August 15, 2002, the applicant first became aware that the Notice has been mailed through telephone contacts with personnel at United States Patent and Trademark Office. A copy of the notice was faxed to the below-signed attorney on August 16, 2002. The mailing date of the Notice was April 08, 2002 with response due in two months. Following instruction of United States Patent and Trademark Office personnel, on August 20, 2002, a PETITION TO RESET A PERIOD FOR REPLY (Petition) was filed by facsimile, petitioning the Commissioner to reset the date for reply. At the filing of this paper, a decision on the Petition has not been received.

Submission of Declaration and Power of Attorney

The present application was filed, claiming priority from U. S. Application 09/485321, as a "Continuation-in-part". However, since no new matter has been added to the present application and the claims are the same, it should be denoted a Continuation. Correction is hereby respectfully requested. Enclosed in fulfillment of the requirement of the Notice is a copy of the Declaration and Power of Attorney from U. S. Application 09/485321, signed by all of the inventors. The Commissioner is respectfully requested to accept this copy a fulfillment of the requirement of the Notice.

Provisional Extension of Time

Since the status of the Petition is not known, the applicant requests an extension of time for response sufficient to prevent abandonment of the present application, provided that the period of response has not been reset in response to Petition. A three-month extension will extend the period for response to five months to Monday, September 9, 2002. This application is in behalf of a small entity. Payment of the extension fee for extension of three months (\$460.00) is enclosed.

Provisional Payment of Fees Required by the Notice

In the Petition, authorization for payment from a Deposit Account was made of the balance required by the Notice (\$519). Since the status of the Petition is not known, the applicant hereby submits the balance required by the Notice.

Enclosures

For reference by the Commissioner the following are enclosed.

- Copy of PETITION TO RESET A PERIOD FOR REPLY, which included copies of attorney docket entries, and a copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION.
- Separate copy for this paper of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION.
- Copy of DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION from parent United States Patent Application 09/485321.

Fees and Deposit Account Authorization

A check for the total fees is enclosed (\$979). The Commissioner is hereby authorized to charge payment of any further fees required for this paper or to credit any refunds to Deposit Account No. 50-0581. This application is on behalf of a small entity.

Respectfully submitted;

Dated: 09 September 2002 By: James L. Sonntag

  
James L. Sonntag  
Reg. No. 30,224